

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L24231MH1950PLC008311

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACP3334M

(ii) (a) Name of the company

PFIZER LIMITED

(b) Registered office address

The Capital, 1802 / 1901, Plot No. C-70, G Block,  
Bandra Kurla Complex, Bandra (East),  
Mumbai  
Mumbai City  
Maharashtra  
400051

(c) \*e-mail ID of the company

prajeet.nair@pfizer.com

(d) \*Telephone number with STD code

02266932000

(e) Website

www.pfizerindia.com

(iii) Date of Incorporation

21/11/1950

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,  
Financial District, Nanakramguda, Serilingampally

(vii) \*Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 04/09/2020

(b) Due date of AGM 30/09/2020

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

**(i) \*SHARE CAPITAL****(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	63,000,000	45,750,372	45,750,372	45,747,732
Total amount of equity shares (in Rupees)	630,000,000	457,503,720	457,503,720	457,477,320

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares without differential rights				
Number of equity shares	63,000,000	45,750,372	45,750,372	45,747,732
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	630,000,000	457,503,720	457,503,720	457,477,320

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	101,559,200

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
At the beginning of the year	45,747,732	457,477,320	457,477,320	

<b>Increase during the year</b>	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	45,747,732	457,477,320	457,477,320	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	09/08/2019		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

20,963,213,546

**(ii) Net worth of the Company**

33,954,923,917

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	29,243,042	63.92	0	
10.	Others	0	0	0	
	<b>Total</b>	29,243,042	63.92	0	0

**Total number of shareholders (promoters)**

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6,227,807	13.61	0	
	(ii) Non-resident Indian (NRI)	192,777	0.42	0	
	(iii) Foreign national (other than NRI)	30	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,048,652	2.29	0	
4.	Banks	0	0	0	
5.	Financial institutions	339,734	0.74	0	
6.	Foreign institutional investors	1,689,901	3.69	0	
7.	Mutual funds	4,274,603	9.34	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,595,635	5.67	0	
10.	Others          Clearing Members	135,551	0.3	0	
	<b>Total</b>	16,504,690	36.06	0	0

**Total number of shareholders (other than promoters)**

85,965

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

85,972

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	79,738	85,965
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	3	5	3	5	0	0.02
(i) Non-Independent	3	0	3	0	0	0
(ii) Independent	0	5	0	5	0	0.02
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>5</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>0.02</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. R.A. Shah	00009851	Director	3,540	
Mr. Pradip Shah	00066242	Director	0	
Mr. Uday Khanna	00079129	Director	0	
Mr. Sunil Lalbhai	00045590	Director	2,477	
Ms. Meena Ganesh	00528252	Director	0	
Mr. S. Sridhar	05162648	Managing Director	0	
Mr. Milind Patil	02546815	Whole-time director	0	
Mr. Samir Kazi	07184083	Whole-time director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Prajeet Nair	AADPN9155G	Company Secretary	1	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. Vivek Dhariwal	02826679	Director	14/02/2020	Cessation
Mr. Samir Kazi	07184083	Additional director	14/02/2020	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	09/08/2019	79,745	176	66.34

**B. BOARD MEETINGS**

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	28/05/2019	8	8	100
2	09/08/2019	8	8	100
3	26/10/2019	8	8	100
4	14/02/2020	9	9	100

**C. COMMITTEE MEETINGS**

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	28/05/2019	4	4	100
2	Audit Committee	09/08/2019	4	4	100
3	Audit Committee	26/10/2019	4	4	100
4	Audit Committee	14/02/2020	4	4	100
5	Stakeholders Forum	14/02/2020	3	3	100
6	Corporate Social Responsibility	28/05/2019	4	4	100
7	Corporate Social Responsibility	26/10/2019	4	4	100
8	Corporate Social Responsibility	14/02/2020	4	4	100
9	Nomination and Remuneration Committee	28/05/2019	3	3	100
10	Nomination and Remuneration Committee	14/02/2020	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	04/09/2020
								(Y/N/NA)
1	Mr. R.A. Shah	4	4	100	6	6	100	No
2	Mr. Pradip Shah	4	4	100	9	9	100	Yes
3	Mr. Uday Khanna	4	4	100	7	7	100	Yes
4	Mr. Sunil Lalbani	4	4	100	2	2	100	Yes
5	Ms. Meena Gadhokar	4	4	100	1	1	100	Yes
6	Mr. S. Sridhar	4	4	100	8	8	100	Yes
7	Mr. Milind Patil	4	4	100	4	4	100	Yes
8	Mr. Samir Kazim	1	1	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. S. Sridhar	Managing Director	41,060,597	0	0	0	41,060,597
2	Mr. Milind Patil	Whole-time Director	21,044,736	0	0	0	21,044,736
3	Mr. Vivek Dhariwal	Whole-time Director	17,529,414	0	1,332,650	0	18,862,064
4	Mr. Samir Kazi	Whole-time Director	1,574,120	0	0	0	1,574,120
	Total		81,208,867	0	1,332,650	0	82,541,517

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Prajeet Nair	Company Secretary	5,216,597	0	0	0	5,216,597
	Total		5,216,597	0	0	0	5,216,597

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. R.A. Shah	Independent Director	0	1,500,000	0	490,000	1,990,000
2	Mr. Pradip Shah	Independent Director	0	1,500,000	0	580,000	2,080,000
3	Mr. Uday Khanna	Independent Director	0	1,500,000	0	520,000	2,020,000
4	Mr. Sunil Lalbhai	Independent Director	0	1,500,000	0	290,000	1,790,000
5	Ms. Meena Ganesh	Independent Director	0	1,500,000	0	260,000	1,760,000
	Total		0	7,500,000	0	2,140,000	9,640,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Bhumitra V. Dholakia

Whether associate or fellow

Associate  Fellow

Certificate of practice number

507

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

Director

SUBRAMAN  
JAM  
SRIDHAR

DIN of the director

05162648

To be digitally signed by

PRAJEE  
T NAIR

Company Secretary

Company secretary in practice

Membership number

19267

Certificate of practice number

### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

### List of attachments

MGT-7\_Deatils of Committee meetings.pdf  
Pfizer - MGT 8 - FY 19-20.pdf  
Pfizer\_FII-Fcp\_2019-20.pdf  
Pfizer\_List of transfers.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

Designated Partners  
CS Bhumitra V. Dholakia  
B.A., L.L.B., C.A.I.I.B., F.C.S.  
CS Nrupang B. Dholakia  
B.Com., F.C.S., L.L.B., D.C.L., EP.CORP

DHOLAKIA & ASSOCIATES LLP  
(COMPANY SECRETARIES)

**FORM NO. MGT 8**

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies  
(Management and Administration) Rules, 2014]**

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

We have examined the registers, records and books and papers of **Pfizer Limited (CIN: L24231MH1950PLC008311)** (the Company) as required to be maintained under the Companies Act, 2013 ('the Act') and the rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2020 through electronic platform/online means due to widespread outbreak of pandemic COVID-19. In our opinion and to the best of our information and according to the examinations carried out by us and explanations/confirmation furnished to us by the Company, its officers and agents, we certify that:

- A.** The annual return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B.** During the aforesaid financial year the Company has complied with provisions of the Act & Rules made thereunder in respect of the following subject to the reporting made thereunder:
  - 1. Its status under the Act i.e. Listed Non Government Public Limited Company;
  - 2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
  - 3. Filing of forms and returns with the Registrar of Companies within the permissible time. The Company was not required to file any Form with Regional Director, Court, Tribunal or any other Authority;

**PFIZER MGT 8 FOR FY 2019-20**

**Regd Office: A/302, Sarvodaya CHSL, Bldg No. 11, Nr. P.F. Office, Khernagar, Bandra (E), Mumbai – 400051.**

**Tel No. +91 22 26580309, +91 22 26476280, +91 22 26471302. E-Mail: [info@dholakia-associates.com](mailto:info@dholakia-associates.com).**

**M/s. Dholakia & Associates a partnership firm has been converted into Dholakia & Associates LLP (a Limited Liability Partnership) w.e.f. 21<sup>st</sup> November, 2014 LLPIN AAC 9552 GSTIN: 27AAKFD4117D1ZR**

-2-

4. (a) Calling/convening/holding meetings of Board of Directors and its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;  
  
(b) There were no resolutions passed through Postal Ballot during the period under review;
5. Closure of Register of Members from 3<sup>rd</sup> August, 2019 to 9<sup>th</sup> August, 2019 (“Both days inclusive”) for the purpose of Annual General Meeting and determining the entitlement of the shareholders for the dividend declared for the Financial Year ended 31<sup>st</sup> March, 2019;
6. The Company has not made any advances or loans to its directors and / or persons or firms or companies referred in section 185 of the Act;
7. The Company has not entered into any Contract or arrangement with related parties as specified in section 188 of the Act except those entered on Arm’s Length Basis and Ordinary Course of Business;
8. (a) The Company has not received any request for Physical Transfer of shares/securities for the period 1<sup>st</sup> April, 2019 to 31<sup>st</sup> March, 2020, hence was not required to issue security certificate(s);  
  
(b) The Company has processed request of transfer of equity shares and issued share certificates in said instances for requests received prior to 1<sup>st</sup> April, 2019 and resubmitted with further clarification and documentation;  
  
(c) The Company has processed transmission of equity shares and issued share certificates in said instances;

**PFIZER MGT 8 FOR FY 2019-20**

Regd Office: A/302, Sarvodaya CHSL, Bldg No. 11, Nr. P.F. Office, Khernagar, Bandra (E), Mumbai – 400051.

Tel No. +91 22 26580309, +91 22 26476280, +91 22 26471302. E-Mail: [info@dholakia-associates.com](mailto:info@dholakia-associates.com).

M/s. Dholakia & Associates a partnership firm has been converted into Dholakia & Associates LLP (a Limited Liability Partnership) w.e.f. 21<sup>st</sup> November, 2014 LLPIN AAC 9552 GSTIN: 27AAKFD4117D1ZR

Designated Partners  
CS Bhumitra V. Dholakia  
B.A., L.L.B., C.A.I.I.B., F.C.S.  
CS Nrupang B. Dholakia  
B.Com., F.C.S., L.L.B., D.C.L., EP.CORP

DHOLAKIA & ASSOCIATES LLP  
(COMPANY SECRETARIES)

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- (d) The Company has not issued or allotted or bought back or redeemed any securities or debentures or altered or reduced its share capital or converted any shares;
9. There were no transactions necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares;
10. (a) Declaration and Payment of Dividend; transfer of unpaid/unclaimed dividends to the Investor Education and Protection Fund (IEPF) in accordance with Section 125 of the Act;
- (b) The Company was not required to transfer any other amount to the IEPF;
11. Signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub-section (3), (4) and (5) thereof;
12. (a) Constitution, Appointment, Re-Appointment, Resignation, Retirement and Disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- (b) The Company has not appointed any Director for filling the casual vacancy in the Board of Directors of the Company;
13. As per the provisions of Section 139 of the Act, the Statutory Auditors have given a confirmation to the effect that they are eligible to continue with their appointment and that they have not been disqualified in any manner from continuing as Statutory Auditors of the Company;
14. The Company was not required to seek approval from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

PFIZER MGT 8 FOR FY 2019-20

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Designated Partners  
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B.Com., F.C.S., L.L.B., D.C.L., EP.CORP

DHOLAKIA & ASSOCIATES LLP  
(COMPANY SECRETARIES)

-4-

15. The Company has not accepted any deposits;
16. The Company has not borrowed any amount from its Directors, Members, public financial institutions, banks and others except non-fund based facilities from the banks. The Company has not created charge on any assets of the Company during the financial year;
17. The Company has not advanced any Loans, made Investments and given guarantee or provided security to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. The Company has not altered its Articles of Association and Memorandum of Association.

Place : Mumbai  
Date : 28<sup>th</sup> October, 2020

For DHOLAKIA & ASSOCIATES LLP  
(Company Secretaries)

UDIN: F000977B001089394

BHUMITRA V  
DHOLAKIA

Digitally signed by BHUMITRA V  
DHOLAKIA  
Date: 2020.10.28 18:17:38  
+05'30'

**Bhumitra V. Dholakia**  
**Designated Partner**  
**FCS 977 CP 507**

PFIZER MGT 8 FOR FY 2019-20

Regd Office: A/302, Sarvodaya CHSL, Bldg No. 11, Nr. P.F. Office, Khernagar, Bandra (E), Mumbai – 400051.  
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**PFIZER LIMITED**

Regd. Office: THE CAPITAL, 1802 / 1901, PLOT NO. C-70, G BLOCK, BANDRA KURLA COMPLEX, BANDRA (EAST), MUMBAI 400051

CIN NO: L24231MH1950PLC008311

Date of closure of previous financial year : 31/03/2019

Sr.no	Date of Registration of Transfer of Shares	Type of Security	No of Shares	Nominal value (each in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
1	22/04/2019	1	20	10	P 0145485	VINOD KUMAR MAHESHWARI	PFZ0130440	SAURABH MAHESHWARI
2	22/04/2019	1	18	10	PFZ0106463	KANNADIPARAMBIL RAJAMANI	PFZ0130441	PAZHANGATPADATH G S PILLAI
3	22/04/2019	1	18	10	PFZ0104663	BHANUMATI SHAH	PFZ0130442	ALKESH MAHENDRA SHAH
4	22/04/2019	1	5	10	P 0106538	KISHORE KUMAR	PFZ0130443	KISHORE KUMAR HUF
5	22/04/2019	1	36	10	P 0130792	MAQSOOD MOHIUDDIN	PFZ0130444	DHAMODHARAN
6	22/04/2019	1	1	10	PFZ0105984	MARZBEN MEHERWANJI SADRI	PFZ0130445	DILIP GORDHANDAS GONDALIA
7	22/04/2019	1	4	10	P 0114523	SAVITA RAMANLAL SHAH	PFZ0130446	SAVITA RAMANLAL SHAH
8	22/04/2019	1	5	10	PFZ0104849	RANNA PRABHUDAS PATWARI	PFZ0130447	RANNABEN PRABHUDAS PATWARI
9	22/04/2019	1	100	10	P 0084760	SARLA J MODY	PFZ0130448	VIPUL HIMMATSINH NEGANDHI
10	22/04/2019	1	35	10	P 0133886	R RAJAGOPALAN	PFZ0130449	RAJAGOPALAN RADHAKRISHNAN
11	22/04/2019	1	70	10	PFZ0106467	ALI AMJAAD EBRAHIM	PFZ0130433	ALI AMJAAD EBRAHIM
12	22/04/2019	1	24	10	PFZ0105610	RAJNIKA HARISHCHANDRA MODI	PFZ0130434	DHARMESH HARISHCHANDRA MODI
13	22/04/2019	1	154	10	PFZ0100356	FRANCINE RODRIGUES	PFZ0130435	DENZIL JOHN CHERUVATHOOR
14	22/04/2019	1	14	10	P 0087121	KAUSHIK MADHUSUDAN JHAVERI	PFZ0130436	KAUSHIK MADHUSUDAN JHAVERI
15	22/04/2019	1	18	10	PFZ0104198	TARA TANDON	PFZ0130450	RANJAN TANDON
16	22/04/2019	1	24	10	P 0124750	CHANDER TILOKCHAND BHATIA	PFZ0130451	MANOHARLAL T BHATIA
17	22/04/2019	1	27	10	P 0041802	CHANDER TILOKCHAND BHATIA	PFZ0130452	ROOPA M BHATIA
18	22/04/2019	1	18	10	PFZ0105163	MADHUBEN CHIMANBHAI PATEL	PFZ0130453	AMITKUMAR C PATEL
19	22/04/2019	1	10	10	P 0143978	MALLIKA SARAN HOLDINGS PVT LTD	PFZ0130454	MALLIKA SARAN
20	22/04/2019	1	105	10	PFZ0105369	HARSHADBHAI K SHAH	PFZ0130455	SAROJBEN INDRAVADAN SHAH
21	22/04/2019	1	105	10	PFZ0107173	VINODKUMAR SHRINIVAS KAKANI	PFZ0130456	VINOD KUMAR MADANLAL KAKANI
22	22/04/2019	1	60	10	PFZ0103808	CHANDRAKANT JAYANTILAL VORA	PFZ0130457	SMITA VORA
23	22/04/2019	1	18	10	PFZ0105154	PATEL CHANDUBHAI SHIVLAL	PFZ0130458	CHANDUBHAI SHIVLAL PATEL
24	22/04/2019	1	17	10	P 0133756	PUSHPA MASAND	PFZ0130459	PUSHPA MASAND
25	22/04/2019	1	122	10	P 0141382	HILLA MANCHER BATLIWALLA	PFZ0130470	MEHER ANOOP MALANI
26	22/04/2019	1	100	10	P 0141384	HILLA MANCHER BATLIWALLA	PFZ0130471	VICKY MANCHER BATLIWALLA
27	30/04/2019	1	296	10	P 0041099	VIJAY VALLUPARAMBATH KARUNAKARAN	PFZ0130460	VIJAY VALLUPARAMBATH KARUNAKARAN
28	30/04/2019	1	13	10	P 0122719	AMITABH VERMA	PFZ0130461	AMITABH VERMA
29	30/04/2019	1	100	10	P 0115897	MACHINA SUMATHI DEVI	PFZ0130462	M RANJAN RAO
30	30/04/2019	1	105	10	PFZ0107148	MOHAN MANGESHRAO KANTAK	PFZ0130463	NEELIMA MOHAN KANTAK
31	30/04/2019	1	50	10	P 0094048	MADHU GUPTA	PFZ0130464	RAMESH CHAND GUPTA
32	30/04/2019	1	49	10	P 0138462	SUSHILA GANPAT PATIL	PFZ0130465	URMILA GANPAT PATIL
33	30/04/2019	1	17	10	P 0131530	MURLIDHAR MATRUMAL CHAMEDIA	PFZ0130466	MURLIDHAR MATRUMAL CHAMEDIA
34	30/04/2019	1	35	10	P 0126151	GAURANGADEB PAL	PFZ0130467	REKHA PAL
35	30/04/2019	1	18	10	PFZ0106095	RAJU DHIRAJAL SHAH	PFZ0130468	RAJU DHIRAJAL SHAH
36	30/04/2019	1	408	10	P 0033923	HUTOXI B KHAMBATA	PFZ0130469	HUTOXI KAIZAD NANJI
37	30/04/2019	1	65	10	PFZ0105842	CENTRAL BANK EXE & TR CO LTD	PFZ0130472	FRENY RASHMIRAJ KARIODKAR
38	30/04/2019	1	74	10	P 0139182	USHA VENUGOPAL	PFZ0130473	USHA MENON
39	30/04/2019	1	37	10	P 0084112	VAISHALI VIJAY JOG	PFZ0130474	JOG JAYA
40	30/04/2019	1	164	10	P 0080180	RANJINI H RAO	PFZ0130475	RANJINI H RAO
41	30/04/2019	1	35	10	P 0123412	ASHA DHIRAJAL SHAH	PFZ0130476	ASHA SHETH
42	30/04/2019	1	86	10	P 0112254	MANAVAZHI SUSHEELA	PFZ0130477	M SUSHEELA
43	30/04/2019	1	10	10	P 0142686	BHANU OTTAMCHAND DESAI	PFZ0130478	BHAVNA MAHESH AJMERA
44	30/04/2019	1	35	10	P 0135059	RAVIDUTTA DAVE	PFZ0130479	RAVIDUTTA VIJAYSHANKAR SHARMA
45	06/05/2019	1	24	10	P 0064854	ASHWIN DHARAMDAS BARBHAYA	PFZ0130487	ASHWIN DHARAMDAS BARBHAYA
46	06/05/2019	1	106	10	P 0125859	ELIZABETH KUNNENKERIL	PFZ0130488	ELIZABETH KUNNENKERIL
47	06/05/2019	1	64	10	P 0079665	PIYUSH CHANDRA	PFZ0130489	PIYUSH CHANDRA
48	06/05/2019	1	98	10	PFZ9500011	MRS. SANGITA KUMARPAL PAREKH	PFZ0130490	SANGITA KUMARPAL PAREKH
49	20/05/2019	1	7	10	P 0055339	PRAKASHKUMAR SHAH	PFZ0130492	PRAKASHKUMAR MANUBHAI VORA
50	20/05/2019	1	164	10	P 0066633	U JYOTHI PAI	PFZ0130493	JYOTHI D BHAT
51	20/05/2019	1	14	10	PFZ0105670	SHANTILAL LALLUBHAI PATEL	PFZ0130494	SHANTILAL LALLUBHAI PATEL

Sr.no	Date of Registration of Transfer of Shares	Type of Security	No of Shares	Nominal value (each in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
52	20/05/2019	1	4	10	P 0134962	RASHMIN RAMNIKAL PARMAR	PFZ0130495	RASHMIN RAMNIKAL PARMAR
53	20/05/2019	1	105	10	PFZ0108103	GEETA DIPAK ZAVERI	PFZ0130496	GEETA DIPAK SHAH
54	20/05/2019	1	25	10	P 0108624	FARROKH DOSSABHOY KAMDIN	PFZ0130516	FARROKH DOSSABHOY KAMDIN
55	20/05/2019	1	82	10	P 0125929	FARROKH DOSSABHOY KAMDIN	PFZ0130516	FARROKH DOSSABHOY KAMDIN
56	20/05/2019	1	35	10	PFZ0105885	SURYAKANT THAKORLAL SHAH	PFZ0130518	SURYAKANT THAKORLAL SHAH
57	20/05/2019	1	40	10	P 0131136	MIRA KHANNA	PFZ0130519	MIRA CHAWLA
58	20/05/2019	1	17	10	P 0126507	GRACEY D'SOUZA	PFZ0130520	GRACEY PRAVIN BABHALE
59	20/05/2019	1	35	10	PFZ0108067	CHANDRAMANIBEN D JOSHI	PFZ0130497	TUSHAR H VAKILNA
60	20/05/2019	1	17	10	P 0131036	MEHTA ARUNKUMAR JAYANTILAL	PFZ0130498	MEHTA ARUNKUMAR JAYANTILAL
61	20/05/2019	1	35	10	PFZ0105886	SUBHASH MUKUND SAKHARDANDE	PFZ0130499	PRITI SUBHASH SAKHARDANDE
62	20/05/2019	1	70	10	PFZ0107610	SHREELAL KARNANI	PFZ0130500	SMITA KOTHARI
63	20/05/2019	1	284	10	P 0003432	PRAKASH MEHTA	PFZ0130501	PRAKASH MEHTA
64	20/05/2019	1	35	10	PFZ0106184	PRATIBHA M KULKARNI	PFZ0130502	TUSHAR P KULKARNI
65	20/05/2019	1	35	10	PFZ0105096	SHAMBHUBHAI KURJIBHAI	PFZ0130503	CHHAGANBHAI
66	27/05/2019	1	35	10	P 0127607	JASMINE BEHRAM IRANI	PFZ0130526	JASMINE BEHRAM IRANI
67	27/05/2019	1	15	10	P 0129594	LATA DINANATH BHANDARKAR	PFZ0130527	LATA DINANATH BHANDARKAR
68	03/06/2019	1	10	10	P 0144146	NOSHIR K MEHTA	PFZ0130528	NOSHIR K MEHTA
69	03/06/2019	1	100	10	P 0080576	GOPAL BABURAO BANDIWADEKAR	PFZ0130534	KAVITA GOPAL BANDIWADEKAR
70	10/06/2019	1	286	10	PFZ0101757	CLARE CORREA D SOUZA	PFZ0130537	CLARE PHILLOMENA DSOUZA
71	10/06/2019	1	40	10	P 0041802	CHANDER TILOKCHAND BHATIA	PFZ0130538	ROOPA M BHATIA
72	10/06/2019	1	60	10	P 0107382	FARROKH DOSSABHOY KAMDIN	PFZ0130539	FARROKH DOSSABHOY KAMDIN
73	10/06/2019	1	10	10	P 0144107	NEELA RAMESH PAREKH	PFZ0130540	NEELA RAMESH PAREKH
74	10/06/2019	1	8	10	PFZ0103842	ANACLETO CASTELLINO	PFZ0130541	ANNABELLE CASTELLINO
75	10/06/2019	1	24	10	P 0135216	RENUKA VALIA	PFZ0130542	RENUKA SHIRISH MEHTA
76	10/06/2019	1	100	10	P 0091471	ANITA GUPTA	PFZ0130543	KAVITA GUPTA
77	10/06/2019	1	22	10	P 0128111	JYOTISHCHANDRA RAVAL	PFZ0130547	JYOTISHCHANDRA RAVAL
78	10/06/2019	1	29	10	PFZ0105758	GIRDHARLAL JIVANBHAI RANA	PFZ0130544	TARABEN GIRDHARLAL RANA
79	10/06/2019	1	35	10	PFZ0105969	SAROJ CHAMPKALAL SHAH	PFZ0130545	JAYENDRA CHAMPKALAL SHAH
80	10/06/2019	1	30	10	P 0067759	BHARATKUMAR BHIKHABHAI JANI	PFZ0130546	BHARATKUMAR BHIKHABHAI JANI
81	24/06/2019	1	22	10	P 0125600	DILZEEN ZUBIN LILAOWALA	PFZ0130552	SHERNAZ ZUBIN LILAOWALA
82	24/06/2019	1	105	10	PFZ0130298	MADHUKANTH SOMCHAND MANIAR	PFZ0105828	MRUDULA RAMANLAL SHAH
83	24/06/2019	1	105	10	PFZ0105828	MRUDULA RAMANLAL SHAH	PFZ0130553	LOMESH RAMANLAL SHAH
84	08/07/2019	1	18	10	PFZ0106535	SHAILESH DALPATRAM DAVE	PFZ0130548	SHAILESH DALPATRAM DAVE
85	08/07/2019	1	35	10	PFZ0105050	KUNDANLAL RAWAL	PFZ0130549	HARIOM PRAKASH
86	08/07/2019	1	40	10	P 0133073	PRABHA MATHUR	PFZ0130550	MUNINDRA SWARUP MATHUR
87	08/07/2019	1	162	10	P 0106034	NOSHIR PALLONJI NICHOLSON	PFZ0130551	NAUZER J NICHOLSON
88	22/07/2019	1	267	10	PFZ0106487	VASANT DATTATRAY NARKAR	PFZ0130565	JYOTI VASANT NARKAR
89	22/07/2019	1	30	10	P 0086418	KRISHNA SURI	PFZ0130566	ANJALI ANAND
90	22/07/2019	1	60	10	P 0113507	KANCHANBEN JAYANTILAL SHAH	PFZ0130567	VIBHAKER NATVERLAL SHAH
91	22/07/2019	1	18	10	PFZ0104650	HARKISHANDAS NANDLAL MANDLIA	PFZ0130568	HARKISHANDAS NANDLAL MANDLIA
92	22/07/2019	1	100	10	P 0098981	SERENA D SA	PFZ0130569	SERENA RENEE PINTO
93	22/07/2019	1	10	10	P 0145000	SURENDRA NATH CHAWLA	PFZ0130570	SURENDRA NATH CHAWLA
94	22/07/2019	1	74	10	P 0114173	NELSON MIRANDA	PFZ0130571	VEENA AURORA
95	29/07/2019	1	10	10	P 0107081	ANITA BEHAL	PFZ0130562	DHANI RAM SHARMA
96	29/07/2019	1	49	10	PFZ0105654	BABURAO D KOTNIS	PFZ0130563	ANKIT KAUSHIKBHAI DESAI
97	29/07/2019	1	18	10	PFZ0105943	AMRISH JAYANTILAL MEHTA	PFZ0130564	MOHD JAVED ABBAS SIDDIKI
98	05/08/2019	1	201	10	P 0130333	MALABIKA ROY	PFZ0130597	SUBRATA ROY
99	05/08/2019	1	7	10	PFZ0106591	BINA SHANTILAL DOSHI	PFZ0130592	BINA ATUL MEHTA
100	05/08/2019	1	71	10	PFZ0104209	UDHAM DADWANI	PFZ0130593	DURU DADWANI
101	05/08/2019	1	128	10	P 0057539	MOHINIDEVI	PFZ0130594	MOHINI ASHOK MATHRANI
102	05/08/2019	1	105	10	PFZ0106872	DEEPA PRAKASH DESUMANE	PFZ0130598	NANDA PRAKASH DESHMANE
103	26/08/2019	1	40	10	P 0123591	ASHOK R PAWAR	PFZ0130599	SHIRISH ASHOK PAWAR
104	26/08/2019	1	13	10	P 0129676	LEELA CHANDRASHEKHAR PANSHIKAR	PFZ0130600	LEELA CHANDRASHEKHAR PANSHIKAR
105	26/08/2019	1	102	10	P 0063323	SAROJINI VASANT SAVANT	PFZ0130601	SANJEEV VASANT SAVANT
106	26/08/2019	1	44	10	PFZ0104969	INDRAVADAN MANILAL SHAH	PFZ0130610	RAJESH INDRAVADAN SHAH

Sr.no	Date of Registration of Transfer of Shares	Type of Security	No of Shares	Nominal value (each in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
107	16/09/2019	1	105	10	PFZ0107653	BANI KUMAR MANDAL	PFZ0130624	SABITA MANDAL
108	16/09/2019	1	40	10	P 0133216	PRAFULLATA VASANTLAL CHOKSHI	PFZ0130625	RAHUL VASANTLAL CHOKSHI
109	16/09/2019	1	18	10	P 0133217	PRAFULLATA VASANTLAL CHOKSHI	PFZ0130625	RAHUL VASANTLAL CHOKSHI
110	23/09/2019	1	10	10	P 0143775	MADHAV SHANKAR GOKHALE	PFZ0130626	AMEERA MADHAV GOKHALE
111	23/09/2019	1	35	10	PFZ0104954	PUNAMCHAND C SHAH	PFZ0130627	BHARAT P SHAH
112	23/09/2019	1	105	10	PFZ0104956	PUNAMCHAND C SHAH	PFZ0130627	BHARAT P SHAH
113	07/10/2019	1	284	10	PFZ0130501	PRAKASH MEHTA	PFZ0130633	PRAKASH CHANDRA MEHTA
114	07/10/2019	1	13	10	P 0136305	SATISHKUMAR CHAMPALAL KHINVASARA	PFZ0130634	RUTA JITENDRA OSTWAL
115	22/10/2019	1	20	10	P 0143862	MARY ANNE CHRISTINE LOBO	PFZ0130635	MARY ANNE CHRISTINE LOBO
116	22/10/2019	1	300	10	P 0074821	ERIC JOSEPH PETER LOBO	PFZ0130635	MARY ANNE CHRISTINE LOBO
117	22/10/2019	1	106	10	P 0125889	ERIC JOSEPH PETER LOBO	PFZ0130635	MARY ANNE CHRISTINE LOBO
118	22/10/2019	1	174	10	P 0125890	ERIC JOSEPH PETER LOBO	PFZ0130635	MARY ANNE CHRISTINE LOBO
119	22/10/2019	1	50	10	P 0143041	ERIC JOSEPH PETER LOBO	PFZ0130635	MARY ANNE CHRISTINE LOBO
120	22/10/2019	1	128	10	P 0081558	CAWAS RUSI KARANJIA	PFZ0130636	RODA RUSI JARANJIA
121	22/10/2019	1	960	10	P 0100155	PETER JOSEPH FRANCIS LOBO	PFZ0130637	PETER JOSEPH FRANCIS LOBO
122	22/10/2019	1	18	10	PFZ0105551	MADHUBEN JANARDAN DESAI	PFZ0130638	JANARDAN THAKORBHA DESAI
123	22/10/2019	1	102	10	P 0118970	PROVA ROY	PFZ0130639	SUSHOVAN ROY
124	22/10/2019	1	8	10	P 0085102	MACHINA SUMATHI DEVI	PFZ0130640	SHOBA PATANJALI
125	22/10/2019	1	18	10	PFZ0107048	LATIKA MATHUR	PFZ0130651	LATIKA NIRAJ MATHUR
126	22/10/2019	1	52	10	P 0128965	KHORSHED DINSHAH PIROSHA MADON	PFZ0130641	DINYAR DINSHAH MADON
127	22/10/2019	1	100	10	P 0078633	RAMESHCHANDRA B MAHAJAN	PFZ0130642	SHYAMSUNDER B MAHAJAN
128	22/10/2019	1	44	10	P 0128780	KASHMIRA CAWAS COOPER	PFZ0130645	ZARIN B IRANI
129	04/11/2019	1	128	10	P 0049567	LYNETTE REBELLO	PFZ0130652	ALBERT REBELLO
130	04/11/2019	1	50	10	P 0107275	BAJRANGAL TIBREWALA	PFZ0130655	MAINA DEVI TIBREWALA
131	04/11/2019	1	2	10	P 0061119	CHANDRAKANT SHIVLAL SHAH	PFZ0130656	DAKSHA CHANDRAKANT SHAH
132	18/11/2019	1	15	10	P 0137231	SHRIKANT VAMAN APTE	PFZ0130661	SHRIKANT VAMAN APTE
133	09/12/2019	1	29	10	PFZ0106580	BHUPENDRA GORDHARLAL KARIA	PFZ0130666	BHAVANA BHUPENDRA KARIA
134	09/12/2019	1	4	10	P 0038627	SIBENDU BASU	PFZ0130667	SIBENDU BASU
135	09/12/2019	1	10	10	P 0038628	SIBENDU BASU	PFZ0130667	SIBENDU BASU
136	09/12/2019	1	35	10	P 0133930	R VENKATA SESHAN	PFZ0130668	S PANKAJAM
137	16/12/2019	1	22	10	P 0133419	PRATIBHA DINKAR NARURKAR	PFZ0130671	PRATIBHA DINKAR NARURKAR
138	16/12/2019	1	105	10	PFZ0107551	M R GEETA GANGADHARAN	PFZ0130672	M R GEETA GANGADHARAN
139	16/12/2019	1	18	10	PFZ0108014	SHARAD HIRACHAND MEHTA	PFZ0130673	KSHAMA SHARAD MEHTA
140	13/01/2020	1	17	10	P 0128549	KAMLA GUPTA	PFZ0130676	ARUN KUMAR
141	03/02/2020	1	30	10	P 0078298	NERGIS DHUNJISHAW BHARUCHA	PFZ0130688	FARROKH DHUNJISHAW BHARUCHA
142	03/02/2020	1	124	10	P 0083962	NANCY GOMES	PFZ0130687	NANCY GOMES
143	03/02/2020	1	164	10	P 0081048	SHAMSHER SINGH MATHAUDA	PFZ0130685	SATBIR SINGH
144	09/03/2020	1	25	10	PFZ0106072	RAYOMAND BEHRAMGAZDAR	PFZ0130703	RAYOMAND BEHRAMGAZDAR
145	09/03/2020	1	88	10	P 0042798	KALIKA GANESH PATWARDHAN	PFZ0130704	VIJAY GANESH PATWARDHAN
146	09/03/2020	1	35	10	PFZ0106614	MADHAV SATHAYE	PFZ0130705	SHRIKANT SATHAYE
147	09/03/2020	1	50	10	P 0045600	CHOSAR BAI JAIN	PFZ0130706	CHOSAR BAI JAIN
148	09/03/2020	1	6	10	P 0130547	MANISH KISHOR AVASHIA	PFZ0130707	MANISH KISHOR AVASHIA
149	09/03/2020	1	222	10	P 0061331	D CHANDRA KUMARI	PFZ0130708	NANDINI BHASKAR
150	09/03/2020	1	35	10	P 0123955	BALKRISHNA RAMCHANDRA KOTWAL	PFZ0130709	MRINALINI BALKRISHNA KOTWAL
151	09/03/2020	1	17	10	P 0135906	SANJAY DHIR	PFZ0130710	RAJIV DHIR
152	09/03/2020	1	35	10	PFZ0105934	SHIRIN N PARDIWALA	PFZ0130711	RASHIDA KUTBUDDIN AMRELIWALA
153	09/03/2020	1	9	10	P 0060327	HEMANT C LAKHIA	PFZ0130696	SHISHIR LAKHIA

PRAJEET  
NAIR

Digitally signed by PRAJEET NAIR  
DN: c=IN, o=Personal, postalCode=401107,  
st=Maharashtra,  
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cn=PRAJEET NAIR  
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PFIZER LIMITED

List of FI, FPC Shareholders as on 31/03/2020

FOLIO/CL-ID	NAME/JOINT NAME(S)	ADDRESS	COUNTRY OF INCORPORATION	REGN. NO WITH SEBI	HOLDING	% TO EQT	MINOR CODE	PAN NO
10712060	PARI WASHINGTON INDIA MASTER FUND, LTD.	C/O CIM FUND SERVICES LTD 33 EDITH CAVELL STREET PORT LOUIS	MAURITIUS	INMUFP246916	273492	0.60	FPC	AAFCP7824F
10003257	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	INUSFP101917	208036	0.45	FPC	AABTV0442N
10016654	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	INUSFP068617	158566	0.35	FPC	AAATY0918K
10105077	ISHARES CORE EMERGING MARKETS MAURITIUS CO	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTY EIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	INMUFP052015	96388	0.21	FPC	AADC1923N
10013268	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	INUSFP033916	92675	0.20	FPC	AAATD7768G
10076881	GOVERNMENT PENSION FUND GLOBAL	Banklassen 2 P O Box 1179 Sentrum Oslo 0107	NORWAY	INNOFP130516	83184	0.18	FPC	AACCN1454E
10013410	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	INUSFP031416	76305	0.17	FPC	AACCD1644G
10039739	STATE STREET EMERGING MARKETS SMALL CAP ACTIVE NON-LENDING QIB COMMON TRUST FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP034816	63057	0.14	FPC	AAHTS0174J
30030936	UNION INVESTMENT LUXEMBOURG S.A. A/C QUONIAM FUNDS SELECTION SICAV - EMERGING MARKETS EQUITIES MINRI SK	308 route d Esch	LUXEMBOURG	INLUFP022815	45048	0.10	FPC	AAACQ2640M
10059172	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEX FUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	INUSFP067617	31972	0.07	FPC	AABTV0979Q
10016687	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	400 HOWARD STREET SAN FRANCISCO CA 94105	UNITED STATES OF AMERICA	INUSFP172617	31842	0.07	FPC	AAAAE3545P
10104453	ISHARES INDIA SC MAURITIUS COMPANY	IFS COURT TWENTYEIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	INMUFP050315	31141	0.07	FPC	AACCI7987F
10105204	ISHARES CORE MSCI EM IMI UCITS ETF	JP MORGAN HOUSE IFSC DUBLIN 1	IRELAND	INIRFP073415	30330	0.07	FPC	AADC17836E
10017116	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	400 HOWARD STREET SAN FRANCISCO CA 94105	UNITED STATES OF AMERICA	INUSFP176217	29160	0.06	FPC	AAAAE5365K
10086198	UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH ON BEHALF OF BAYVK A3-FONDS	THEODOR-HEUSS-ALLE 70 60486 FRANKFURT AM MAIN		INGEFP014018	27061	0.06	FPC	AABAU1793L
10032725	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	400 Q STREET LPE ROOM 4800 SACRAMENTO CA	UNITED STATES OF AMERICA	INUSFP111015	26558	0.06	FPC	AAATC6038J
10089619	EAM EMERGING MARKETS SMALL CAP FUND, LP	2711 Centerville Road Suite 400 Wilmington DE	UNITED STATES OF AMERICA	INUSFP247715	24855	0.05	FPC	AAAAE8475G
10011570	MISSOURI EDUCATION PENSION TRUST MANAGED BY ACADIA N ASSET MANAGEMENT LLC	3210 WEST TRUMAN BOULEVARD JEFFERSON CITY MISSOURI	UNITED STATES OF AMERICA	INUSFP077016	21056	0.05	FPC	AADTM4007G

10005548	NEW YORK STATE COMMON RETIREMENT FUND	OFFICE OF THE STATE COMPTROLLER 110 STATE STREET 14TH FLOOR ALBANY NY	UNITED STATES OF AMERICA	INUSFP162316	17907	0.04	FPC	AAATN0651N
10097258	STICHTING PENSIOENFONDS ING	DE ENTREE 201 AMSTERDAM	INDIA	INNLF0015818	16576	0.04	FPC	AATAS6023K
10078107	UPS GROUP TRUST	55 GLENLAKE PKWY NE ATLANTA GEORGIA	UNITED STATES OF AMERICA	INUSFP013716	14059	0.03	FPC	AAATU3821D
10153025	STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - STATE STREET EMERGING MARKETS SMALL CAP EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INLUF0077618	13552	0.03	FPC	ABACS4237P
10079013	GLOBEFLEX EMERGING MARKETS SMALL CAP, L.P.	4365 Executive Drive Suite 720 San Diego CA USA	UNITED STATES OF AMERICA	INUSFP034415	13200	0.03	FPC	AAKFG4021D
10080770	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP023316	12814	0.03	FPC	AAJTS4147D
10085015	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	50 S LaSalle Street Chicago Illinois	UNITED STATES OF AMERICA	INUSFP095215	12379	0.03	FPC	AACTN1585B
10161102	CALIFORNIA STATE TEACHERS RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC 1	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP000920	12368	0.03	FPC	AAFFC0559D
10031520	SPDR S AND P EMERGING MARKETS ETF	STATE STREET FINANCIAL CENTER ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP131416	12278	0.03	FPC	AAGTS4584D
10105085	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS CO	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTY EIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	INMUFP052115	11295	0.02	FPC	AADC1924M
10131681	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC	1801 HERMITAGE BLVD SUITE 100 TALLAHASSEE FLORIDA	UNITED STATES OF AMERICA	INUSFP207315	10700	0.02	FPC	AAAJF0103H
10049693	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	730 THIRD AVENUE NEW YORK NEW YORK	UNITED STATES OF AMERICA	INUSFP083617	10319	0.02	FPC	AAACC9040K
10003206	GOLDMAN SACHS TRUST - GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FUND	200 WEST STREET NEW YORK NY	UNITED STATES OF AMERICA	INUSFP135615	7813	0.02	FPC	AABTG0501F
10088827	BNP PARIBAS ARBITRAGE - ODI	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INDIA	INFRFP006620	7169	0.02	FPC	AAGFB5324G
10123528	RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY UCITS - ACADIAN ASSET MANAGEMENT	78 SIR JOHN ROGERSONS QUAY DUBLIN	IRELAND	INIRFP228616	7124	0.02	FPC	AAHCR0798Q
10084211	IOOF INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR THE MULTIMIX WHOLESALE INTERNATIONAL SHARES TRUST - EAM GLOBAL INVESTORS L	Level 6 161 Collins Street Melbourne VIC	AUSTRALIA	INAUFP050517	6427	0.01	FPC	AABTI0711P
10771432	NOMURA SINGAPORE LIMITED ODI	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INDIA	INSGFP004220	6175	0.01	FPC	AADCN2750N

10015452	PUB EQUITIES EMERGING MARKETS 1	UETLIBERGSTRASSE 231 ZURICH	SWITZERLAND	INCHFP001517	5750	0.01	FPC	AACTP9572E
10047132	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP022916	5653	0.01	FPC	AAHTS6002P
10045319	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP131616	5431	0.01	FPC	AAHTS4805N
10097223	EMPLOYEES RETIREMENT SYSTEM OF TEXAS - SELF MANAGED PORTFOLIO	200 EAST 18TH STREET AUSTIN TEXAS	UNITED STATES OF AMERICA	INUSFP027416	5169	0.01	FPC	AAATE3593J
10156689	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	20 Triton Street Regents Place London NW1 3BF U K	UNITED KINGDOM	INUKFP032216	5167	0.01	FPC	AACCD1506B
10762745	FIS GROUP COLLECTIVE INVESTMENT TRUST - FIS GROUP NON-US INTERNATIONAL SMALL CAP FUND	12 GILL STREET SUITE 2600 WOBURN MA		INUSFP057218	5147	0.01	FPC	AAATF6805C
10146165	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	1111 BROADWAY SUITE 2100 OAKLAND CA		INUSFP242917	4794	0.01	FPC	AADCT1007C
10105132	ISHARES MSCI EM SMALL CAP UCITS ETF	JP MORGAN HOUSE IFSC DUBLIN 1	IRELAND	INIRFP257116	4663	0.01	FPC	AADC13486J
10106797	ALLIANZ GLOBAL INVESTORS FUND-ALLIANZ BEST STYLES EMERGING MARKETS EQUITY	6A ROUTE DE TREVES SENNINGERBERG	LUXEMBOURG	INLUF191917	4660	0.01	FPC	AAQCA0151P
30004172	RAM (LUX) SYSTEMATIC FUNDS - EMERGING MARKETS EQUITIES	14 BOULEVARD ROYAL LUXEMBOURG CITY	LUXEMBOURG	INLUF226715	4472	0.01	FPC	AADCR3214M
10018480	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	INUSFP022719	4393	0.01	FPC	AACTV9551H
10047358	VANGUARD TOTAL WORLD STOCK INDEX FUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	INUSFP067417	4184	0.01	FPC	AABTV0279R
10748329	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS VBE	BOCKENHEIMER LANDSTRABE 42-44 FRANKFURT / MAIN	GERMANY	INGEFP055116	4068	0.01	FPC	AADAA9220C
10108657	WELLS FARGO BANK DECLARATION OF TRUST ESTABLISHING INVESTMENT FUNDS FOR EMPLOYEE BENEFIT TRUSTS -WELLS FARGO FACTOR ENHANCED EMERGING M	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	INUSFP075319	4035	0.01	FPC	AAATW5268Q
10002091	INDIA MOATS FUND	SUITE 2004 LEVEL 2 ALEXANDER HOUSE 35 CYBERCITY EBENE, MAURITIUS	INDIA	20120412	4000	0.01	FPC	AAECG5991K
10015477	PUB EQUITIES EMERGING MARKETS 2	UETLIBERGSTRASSE 231 ZURICH	SWITZERLAND	INCHFP001417	3791	0.01	FPC	AACTP9573F
10104429	ISHARES EMSC MAURITIUS CO	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTYEIGHT CYBERCITY EBENE	MAURITIUS	INMUF051915	3515	0.01	FPC	AACCI5902Q
10088144	INTEGRATED CORE STRATEGIES ASIA PTE LTD	80 RAFFLES PLACE UOB PLAZA 2 14-20	SINGAPORE	INSGFP121916	3370	0.01	FPC	AACCI4678K
10012152	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	277 EAST TOWN STREET COLUMBUS OH 43215 USA	UNITED STATES OF AMERICA	INUSFP130016	3148	0.01	FPC	AAATP5186E
10016679	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND B	400 HOWARD STREET SAN FRANCISCO CA 94105	UNITED STATES OF AMERICA	INUSFP172517	3070	0.01	FPC	AAAAE3543M

10006932	STICHTING SHELL PENSIOENFONDS	BOGAARDPLEIN 41 RIJSWIJK ZH	NETHERLANDS	INNLF023317	2883	0.01	FPC	AACT57576B
20011047	K INDIA OPPORTUNITIES FUND LIMITED	C/o Cim Fund Services Ltd 33 Edith Cavell Street Port Louis	MAURITIUS	INMUFP005914	2675	0.01	FPC	AAFCK1911Q
10013250	THE EMERGING MARKETS SERIES OF THE DFA INVESTMENT TRUST COMPANY	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	INUSFP033816	2520	0.01	FPC	AAATD7822R
10025384	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	INUSFP031616	2484	0.01	FPC	AACT9049F
10055675	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP022816	2369	0.01	FPC	AAIT50342K
10114824	INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT AS TRUSTEE FOR THE STAFF RETIREMENT PLAN AND TRUST	WORLD BANK 1818 H STREET N W WASHINGTON D C	UNITED STATES OF AMERICA	INUSFP144816	2220	0.00	FPC	AAAJ0405R
10074905	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	INUSFP031716	2126	0.00	FPC	AABCW4402G
10118522	ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC	260 FRANKLIN STREET BOSTON MASSACHUSETTS	UNITED STATES OF AMERICA	INUSFP171615	1924	0.00	FPC	AAMCA6207G
10146173	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - DFA EMERGING MARKETS UCRSP	1111 BROADWAY SUITE 2100 OAKLAND CA		INUSFP228817	1906	0.00	FPC	AADCT1007C
20069441	K INDIA OPPORTUNITIES FUND LIMITED - CLASS E	C/o Cim Fund Services Ltd 33 Edith Cavell Street Port Louis	MAURITIUS	INMUFP097517	1849	0.00	FPC	AAFCK1911Q
10106088	ISHARES IV PUBLIC LIMITED COMPANY - ISHARES MSCI EMIMI ESG SCREENED UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	INIRFP068018	1786	0.00	FPC	AAFC10124F
10006941	SHELL PENSIONS TRUST LIMITED AS TRUSTEE OF THE SHELL CONTRIBUTORY PENSION FUND	SHELL CENTRE LONDON	UNITED KINGDOM	INUKFP044415	1736	0.00	FPC	AAETS8177Q
10096503	SUNSUPER SUPERANNUATION FUND	30 LITTLE CRIBB STREET MILTON QLD	AUSTRALIA	INAUFP165017	1687	0.00	FPC	AAKTS6613F
10032536	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	360 E Second Street Suite 600 Los Angeles CA		INUSFP065214	1426	0.00	FPC	AABTC0471C
10121650	PEOPLE'S BANK OF CHINA	NO 32 CHENGFANG STREET XICHENG DISTRICT BEIJING	CHINA	INCNFP043014	1424	0.00	FPC	AAAJ1319B
10133097	FUNDPARTNER SOLUTIONS (SUISSE) S.A. - RP-FONDS INSTITUTIONNEL-ACTIONS MARCHES EMERGENTS	ROUTE DES ACACIAS 60	SWITZERLAND	INCHFP209715	1424	0.00	FPC	AACCF6960F
10090210	FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNATIONAL INDEX FUND	245 Summer Street Boston Massachusetts	UNITED STATES OF AMERICA	INUSFP150116	1331	0.00	FPC	AAATF5391H
10107099	MERCER EMERGING MARKETS EQUITY FUND	99 HIGH STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP239715	1284	0.00	FPC	AADTM2403Q
10124393	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	70 SIR JOHN ROGERSON'S QUAY DUBLIN 2	IRELAND	INIRFP033015	1233	0.00	FPC	AAJCM5945N
10119982	INTERNATIONAL MONETARY FUND - MANAGER B	700 19TH STREET NW WASHINGTON DC	UNITED STATES OF AMERICA	INUSFP287916	1227	0.00	FPC	EXEMPTCATG
10130865	VIRGINIA RETIREMENT SYSTEM	1200 EAST MAIN STREET RICHMOND VIRGINIA	UNITED STATES OF AMERICA	INUSFP158315	1225	0.00	FPC	AAATV3654P

10145507	WELLS FARGO FACTOR ENHANCED EMERGING MARKETS PORTFOLIO	525 MARKET STREET SAN FRANCISCO CA	UNITED STATES OF AMERICA	INUSFP231617	1216	0.00	FPC	AAATW4586B
10119999	INTERNATIONAL MONETARY FUND - MANAGER A	700 19TH STREET NW WASHINGTON DC	UNITED STATES OF AMERICA	INUSFP287816	1204	0.00	FPC	EXEMPTCATG
10148996	BLACKROCK ADVANTAGE EMERGING MARKETS FUND OF BLACK ROCK FUNDS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP008118	1174	0.00	FPC	AAATB3685R
30049717	DFA INTERNATIONAL CORE EQUITY FUND	SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA	CANADA	INCAFP268915	1118	0.00	FPC	AAATD3438N
10121383	SSGA SPDR ETFS EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	78 SIR JOHN ROGERSONS QUAY DUBLIN 2	IRELAND	INIRFP026114	1007	0.00	FPC	AAUCS9527P
10006451	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	LEVEL 26 101 COLLINS STREET MELBOURNE VIC	AUSTRALIA	INAUF233415	917	0.00	FPC	AAATB6669D
10097678	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	INUSFP024018	908	0.00	FPC	AAECE9320Q
10140596	EATON VANCE TRUST COMPANY COLLECTIVE INVESTMENT TRUST FOR EMPLOYEE BENEFIT PLANS III	TWO INTERNATIONAL PLACE BOSTON MASSACHUSETTS	UNITED STATES OF AMERICA	INUSFP227516	900	0.00	FPC	AAATE7579E
10128022	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	25/28 NORTH WALL QUAY DUBLIN 1	IRELAND	INIRFP127115	806	0.00	FPC	AAAFD1235L
10004986	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	One Coleman Street London	UNITED KINGDOM	INUKFP115617	780	0.00	FPC	AAABL0025A
10029483	OLD WESTBURY SMALL & MID CAP STRATEGIES FUND - DIMENSIONAL FUND ADVISORS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	INUSFP044014	765	0.00	FPC	AAATO1891P
10151319	UBS (US) GROUP TRUST	ONE NORTH WACKER DRIVE CHICAGO ILLINOIS	UNITED STATES OF AMERICA	INUSFP226916	699	0.00	FPC	AAATU2988B
10014255	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT	BOCKENHEIMER LANDSTRASSE 42-44 HESSE FRANKFURT/ MAIN	GERMANY	INGEFP084616	697	0.00	FPC	AAATA7542B
10128284	STATE STREET GLOBAL EQUITY EX-US INDEX PORTFOLIO	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	INUSFP143615	683	0.00	FPC	AAATS4453E
10021055	ALASKA PERMANENT FUND	801 WEST 10TH STREET JUNEAU ALASKA	UNITED STATES OF AMERICA	INUSFP117816	615	0.00	FPC	AAATA7800K
10018375	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	INUSFP014619	569	0.00	FPC	AACTV8876B
30030153	LTS INVESTMENT FUND LTD	LES CASCADES EDITH CAVELL STREET PORT LOUIS	MAURITIUS	INMUPP163516	550	0.00	FPC	AAACL0500F
30049709	DFA INTERNATIONAL VECTOR EQUITY FUND	SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA	CANADA	INCAFP268815	538	0.00	FPC	AAATD3519Q

10023635	BLACKROCK GLOBAL FUNDS GLOBAL SMALLCAP FUND	2-4 RUE EUGENE RUPPERT LUXEMBOURG	LUXEMBOURG	INLUF210016	522	0.00	FPC	AACCM3444P
10112575	EB DV ACWI EX-U.S. SMALL CAP FUND	225 LIBERTY STREET New York NY	UNITED STATES OF AMERICA	INUSFP136316	499	0.00	FPC	AAATE5261B
10109545	MGI EMERGING MARKETS EQUITY FUND-DIMENSIONAL FUND ADVISORS LTD.	70 SIR JOHN ROGERSONS QUAY DUBLIN	IRELAND	INIRFP300816	490	0.00	FPC	AAHCM6849F
10023614	UTAH STATE RETIREMENT SYSTEMS	540 East 200 South Salt Lake City Utah	UNITED STATES OF AMERICA	INUSFP271916	461	0.00	FPC	AAATU2901Q
10038629	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVE STABLE MARKET INDEX FUND-NON LENDING	50 South LaSalle Street Chicago Illinois	UNITED STATES OF AMERICA	INUSFP246115	429	0.00	FPC	AABTN0934H
30049338	PRAMERICA SICAV - EMERGING MARKETS SMALL CAP	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23- 25 M.G. ROAD FORT, MUMBAI	INDIA	INLUF011619	371	0.00	FPC	AAKCP3541N
10080999	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	601 CONGRESS STREET BOSTON MA 02210 2805 USA	UNITED STATES OF AMERICA	INUSFP164915	365	0.00	FPC	AAATJ9049G
10114832	INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM ENT AS TRUSTEE FOR THE RETIRED STAFF BENEFITS PLAN AND TRUST	WORLD BANK 1818 H STREET N W WASHINGTON D C	UNITED STATES OF AMERICA	INUSFP144616	364	0.00	FPC	AAAIJ0405R
10011537	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND	50 S LASALLE STREET CHICAGO IL	UNITED STATES OF AMERICA	INUSFP242515	341	0.00	FPC	AAATF4143K
10114816	INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM ENT ON BEHALF OF THE POST-EMPLOYMENT BENEFITS PLAN	WORLD BANK 1818 H STREET N W WASHINGTON D C	UNITED STATES OF AMERICA	INUSFP144716	218	0.00	FPC	AAAIJ0405R
10072184	PACIFIC SELECT FUND - PD EMERGING MARKETS PORTFOLI O	700 NEWPORT CENTER DRIVE NEWPORT BEACH CALIFORNIA	UNITED STATES OF AMERICA	INUSFP111316	213	0.00	FPC	AAFPC2504A
10099250	FIDELITY COMMON CONTRACTUAL FUND II/FIDELITY GLOBA L EMERGING MARKETS EQUITY FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	INIRFP042318	145	0.00	FPC	AADC4608B
10084852	QIC INTERNATIONAL EQUITIES FUND	Level 5 66 Eagle Street Brisbane	AUSTRALIA	INAUF071316	103	0.00	FPC	AAATQ0265H
10148961	CF DV ACWI EX-U.S. IMI FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUSFP018918	75	0.00	FPC	AACTC2858A
10150969	VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOB AL ALL CAP INDEX FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	INUKFP043018	48	0.00	FPC	AAGCV3937J
PFZ0105839	PICTET UMBRELLA TRUST EMERGING MARKETS FUND	THE HONGKONG AND SHANGHAI BANKING CORPN LTD, C/O INV DEPT 52/60 MAHATMA GANDHI ROAD POST BOX NO 128, MUMBAI	INDIA		11	0.00	FII	

TOTAL:

1689901 3.69

PRAJEET  
NAIR

Digitally signed by PRAJEET NAIR  
DN: c=IN, o=Personal, postalCode=401107,  
st=Maharashtra,  
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e4a4737e501ee5094b9d54663b70517bb65,  
serialNumber=24a9c3d6f0a76c6e1f9782a  
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Date: 2020.10.29 13:24:38 +05'30'